

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	11069389
<b>Application Number:</b>	10692067
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7243
<b>Title of Invention:</b>	System and method for the automated brokerage of financial instruments
<b>First Named Inventor/Applicant Name:</b>	Michael James Tully
<b>Customer Number:</b>	21888
<b>Filer:</b>	Robert M. Bain/Jodi Gallop
<b>Filer Authorized By:</b>	Robert M. Bain
<b>Attorney Docket Number:</b>	36194/42366
<b>Receipt Date:</b>	28-SEP-2011
<b>Filing Date:</b>	22-OCT-2003
<b>Time Stamp:</b>	15:46:51
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Power of Attorney	01594113.PDF	208182 f204506f60e3badf9b0e76c213b99ad9ef43 04d5	no	1

### Warnings:

The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing

**Information:**

2	Assignee showing of ownership per 37 CFR 3.73(b).	01594112.PDF	452691 cc6f0c8479e9e810be1ff8b5cd4eef8fc3790 434	no	2
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**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	660873
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.